Approved for use through 10/31/2002. OMB 0651-0031*
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known						
Application Number	Not yet assigned					
Filing Date	February 28, 2002					
First Named Inventor	Mark Muhlestein					
Group Art Unit	Not yet assigned					
Examiner Name	Not yet assigned ·					
Attorney Docket Number	112056-0026					

				U.S. PATENT DOCUMEN	ITS	
Examiner Initials *	Cite No.1	U.S. Patent Document Kind Code ² Number		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
-		·	rnown)		MM-DD-YYYY	
rc		5,701,462	·	Whitney et al.	12/23/1997	
FC		5,842,214		Whitney et al.	11/24/1998	
						
		·			-	
				<u> </u>		
						·
					-	
				•		

FOREIGN PATENT DOCUMENTS								
Examine	Fo	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages Columns Lines		
r Initials*	Cite No.	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T _e
		-						
		 					· · · · · · · · · · · · · · · · · · ·	
· · · · ·		 	-			-		
·		 						
				•				

Examiner Signature Frantz Oby	Date Considered	04/25/05
-------------------------------	--------------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/08A (08-00)

Technology Center 2100

Approved for use through 10/31/2002, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

	Complete if Known		
Application Number	10/083,828		
Filing Date	February 27, 2002	RECEIVE	: 6
First Named Inventor	Mark Muhlestein		- L
Group Art Unit	Not yet assigned	MAY 2 9 10	በን
Examiner Name	Not yet assigned		U.
Attorney Docket Number	112056-0026	Toohnology Orto	_

			U.S. PATENT DOCUMENTS			
Examiner Initials	Cite No.¹	U.S. Patent Document Kind Code ² (if knawn)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
		5,963,962	David Hitz et al.	10/05/1999		
· /21	· -	5,819,292	David Hitz et al.	10/06/1998		
8 21W		6,038,570	David Hitz et al.	03/14/2000		
ADER BYLL						

	FOREIGN PATENT DOCUMENTS								
Examine r Initials* Cite No.1	Fo	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,			
	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Pessages or Relevant Figures Appear	Υ ₀	
		ļ	<u> </u>						
	<u> </u>	ļ							
	 -	-	-				ļ		
		 	 						
		 					 		
						· · · · ·			
							<u> </u>		

Examiner Signature	Sevantz Coby	Date Considered	05/23/05	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw the through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ² Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please	type a	plus sign	(+) inside	this box	+
--------	--------	-----------	------------	----------	---

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Su	ubstitute for form 1449A/PTO Complete if Known					
	IEODMATIO	N DIS	CLOSUBE	Application Number	10/083,828	RECEIVE
PER "	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 27, 2002	- IEOEIV
. 5 3				First Named Inventor	Mark Muhlestein	MAY 2 9 100
0)				Group Art Unit	Not yet assigned	
28 2013	(use as many sheets as necessary)		Examiner Name	Not yet assigned	Technology Cente	
	ieet 2	of	2	Attorney Docket Number	112056-0026	100000000000000000000000000000000000000
ot-						

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
R	٠	DAVID HITZ et al. TR3002 File System Design for a NPS File Server Appliance published by Network Appliance, Inc.	
FC	•	Common Internet File System (CIFS) Version: CIFS-Spec 0.9, Storage Networking Industry Association (SNIA), Draft SNIA CIFS Documentation Work Group Work-in-Progress, Revision Date: 3/26/2001	
R	•	Fielding et al. (1999) Request for Comments (RFC) 2616, HTTP/1.1	
		·	
		·	
		·	

Examiner Signature	Frants 0	Dby	Date Considered	04/23/05	
					-

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE prespond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required

stitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 1

Togalisa a Togalisa da Bacaccari di Rigili pada i Amana da Mana di Mana di Mana							
Complete if Known							
Application Number	10/083,828						
Filing Date	February 27, 2002						
First Named Inventor	Mark Muhlestein						
Group Art Unit	2171						
Examiner Name	Not Yet Assigned						
Attorney Docket Number	112056-0026						

				U.S. PATENT DOCUMENT	'S		
Examiner tritials • Cite No.		U.S. Petent Document Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
FC		5,163,131		Edward J. Row et al.	11/10/1992		
æ		5,355,453		Edward J. Row et al.	10/11/1994	Droin.	
R		5,485,579		David Hitz et al.	01/16/1996	REGENTE	
FC		5,802,366		Edward John Row et al.	09/01/1998		
R		5,931,918		Edward John Row et al.	08/03/1999	AIIG 0 1 2002	
R		5,941,972		Geoffrey B. Hoese et al.	08/24/1999	100 0 1 6003	
re		6,065,037		David Hitz et al.	05/16/2000	Technology	
FC_		6,425,035	B2	Geoffrey B. Hoese et al.	07/23/2002	Technology Center 2	?10(
•							

FOREIGN PATENT DOCUMENTS								
Examine r Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	
		Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆
	<u> </u>							
			ļ					
						<u> </u>		
		 						

Examiner	1	α	Date	1/-	<u>'</u>
Signature	Arautz	COSY	Considered	04/23/05	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (MPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.